

**TRANSMITTAL OF  
UTILITY  
APPLICATION  
UNDER 37  
C.F.R. §1.53**

Attorney Docket No.

25886-0066B

First named inventor

James Chen

Express mail label #

EL870637428US

Date of mailing

March 4, 2002

JC979 U.S. PRO  
10/09/1144**Application Elements**

1. ☒ Fee Transmittal Form
2. ☒ Specification containing 55 pages (including claims and Abstract).
  - a. Title: USE OF PHOTOLUMINESCENT NANOPARTICLES FOR PHOTODYNAMIC THERAPY
  - b. Number of claims: 136
3. ☒ 8 sheets of drawings with 8 Figs.
4. ☐ Unexecuted Declaration listing names of joint inventors
5. ☐ Sequence Listing
  - ☐ Paper copy (identical to computer copy)
  - ☐ Computer readable copy
  - ☐ Verified statement

**Accompanying Application Papers**

6. ☐ Assignment
7. ☐ Preliminary Amendment
8. ☒ Return Receipt Postcard

**SIGNATURE OF ATTORNEY/AGENT**

HELLER EHRMAN WHITE &amp; McAULIFFE LLP

Dale L. Rieger

Registration Number: 43,045

☒ Benefit of priority claimed under 35 U.S.C. §119(e) to U.S. provisional application Serial No. 60/272,877, filed March 2, 2001; and under 35 U.S.C. §119(e) to U.S. application Serial No. 09/798,277, filed March 2, 2001, which application was converted to a provisional application on February 26, 2002 (copy of petition enclosed).

**CORRESPONDENCE ADDRESS**

NAME

Stephanie Seidman  
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03/04/02  
JC921 U.S. PRO

10091144 030402

<b>FEE TRANSMITTAL ACCOMPANYING UTILITY APPLICATION UNDER 37 C.F.R. §1.53</b>	Attorney Docket No.	25886-0066B
	First named inventor	James Chen
	Express mail label #	EL870637428US
	Date of mailing	March 4, 2002

**FEE CALCULATION FOR CLAIMS AS AMENDED**

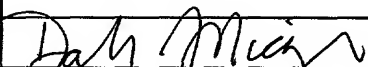
a)	Basic Fee		\$ 740.00
b)	Independent Claims <u>11</u> - 3 = <u>8</u> x \$ 84.00		\$ 672.00
c)	Total Claims <u>136</u> - 20 = <u>116</u> x \$ 18.00		\$ 2088.00
d)	Fee for Multiple Dependent Claims - \$280.00		\$ 0.00
<b>TOTAL FILING FEE</b>			<b>\$ 3500.00</b>

[X] Status as Small Entity is claimed, reducing fees by one-half to \$1750.00.

[X] A check in the amount of \$1750.00 to cover the fee for filing the application.

[ ] Charge \$     .00 to Deposit Account No. 50-1213.

[X] The Commissioner is hereby authorized to charge any fees that may be required in this application under 37 C.F.R. §§ 1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 50-1213. If proper payment is not enclosed, such as a check in the wrong amount, unsigned, post-dated, otherwise improper or informal, or absent, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1213 during the entire pendency of this application. This sheet is filed in duplicate.

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Submitted by:					
Typed or printed name	Dale L. Rieger			Reg. Number	43,045
Signature		Date	03/04/02	Deposit Account	50-1213

1099144-00400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: CHEN

Serial No.: 09/798,277

Group Art Unit: 1619

Filed: March 2, 2001

Examiner: To Be Assigned

For: NOVEL USES OF PHOTOLUMINESCENT NANOPARTICLES  
FOR PHOTODYNAMIC THERAPY

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Commissioner for Patents  
U. S. Patent and Trademark Office  
P. O. Box 2327  
Arlington, VA 22202

Sir:


LIGHT SCIENCES CORPORATION, a corporation organized under the laws of Washington, having a principal business address of 1065 12th Ave. NW, Ste. E-5, Issaquah, Washington 98027, is an Assignee of the entire right, title and interest in the above-captioned patent by virtue of an Assignment from the inventor. A copy of the Assignment from the inventor has been recorded with the U.S. Patent and Trademark Office under Reel 011956 and Frame 0781.

The undersigned (whose title is supplied below) is empowered to act on behalf of the Assignee.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

LIGHT SCIENCES CORPORATION

Date: 1/14/02

  
Thomas D. Mays, Ph. D.  
Vice President and  
General Counsel